# **OPEN MEETING ITEM**





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COMMISSIONERS JEFF HATCH-MILLER - Chairman WILLIAM A. MUNDELL MARC SPITZER MIKE GLEASON KRISTIN K. MAYES



#### ARIZONA CORPORATION COMMISSION

DATE:

November 16, 2005

DOCKET NO:

RR-03639A-05-0404

TO ALL PARTIES:

Enclosed please find the recommendation of Administrative Law Judge Marc E. Stern. The recommendation has been filed in the form of an Opinion and Order on:

## UNION PACIFIC RAILROAD (UPGRADE CROSSING)

Pursuant to A.A.C. R14-3-110(B), you may file exceptions to the recommendation of the Administrative Law Judge by filing an original and thirteen (13) copies of the exceptions with the Commission's Docket Control at the address listed below by 4:00 p.m. on or before:

#### **NOVEMBER 25, 2005**

The enclosed is NOT an order of the Commission, but a recommendation of the Administrative Law Judge to the Commissioners. Consideration of this matter has tentatively been scheduled for Open Meeting to be held on:

### DECEMBER 6 AND 7, 2005

For more information, you may contact Docket Control at (602)542-3477 or the Hearing Division at (602)542-4250. For information about the Open Meeting, contact the Executive Director's Office at (602) 542-3931.

EXECUTIVE DIRECTOR

# THE ARIZONA CORPORATION COMMISSION

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2	COMMISSIONERS			
3 4 5	JEFF HATCH-MILLER, Chairman WILLIAM A. MUNDELL MARC SPITZER MIKE GLEASON KRISTIN K. MAYES			
6 7 8 9	IN THE MATTER OF THE APPLICATION VALLEY METRO RAIL TO UPGRADE A CROSSING OF THE UNION PACIFIC RAILROAD AT FIRST STREET AND RICE SALADO PARKWAY IN TEMPE, ARIZO AAR/DOT NO. 741 547N.	A	DOCKET NO. RR-03639A-05-0404  DECISION NO  OPINION AND ORDER	
0.	DATE OF HEARING:	October 26	, 2005	
.1	PLACE OF HEARING:	Phoenix, A	rizona	
2	ADMINISTRATIVE LAW JUDGE:	Marc E. St	ern	
3	APPEARANCES:	on behalf	Targovnik, Staff Attorney, Legal Division, of the Utilities Division of the Arizona n Commission.	
15	BY THE COMMISSION:			
16	On June 3, 2005, Valley Metro Rail ("VMR") filed with the Arizona Corporation			
17	Commission ("Commission") a request for approval of an agreement with the Union Pacific Railroad			
18	("Railroad") to upgrade a crossing at First Street and Rio Salado Parkway in Tempe, Arizona at			
19	AAR/DOT No. 741 547N ("Application").			
20	On September 29, 2005, by Procedural Order, the Application was set for a hearing and VMR			
21	was ordered to notify any interested party of the Application and the hearing.			
22	On October 18, 2005, VMR filed certification that notice had been provided pursuant to the			
23	terms of the Procedural Order.			
24	On October 26, 2005, a full public hearing was held before a duly authorized Administrative			
25	Law Judge of the Commission at its offices in Phoenix, Arizona. At the conclusion of the hearing			
26	the matter was taken under advisement pending submission of a Recommended Opinion and Order to			
27	the Commission.			
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Having considered the entire record herein and being fully advised in the premises, the Commission finds, concludes, and orders that:

#### **FINDINGS OF FACT**

- On June 3, 2005, VMR filed an Application in which it requested an Opinion and 1. Order from the Commission approving an agreement between VMR and the Railroad to upgrade the existing at-grade crossing of the Railroad at First Street and Rio Salado Parkway where VMR's new tracks will parallel the Railroad's existing tracks and VMR's and the Railroad's automatic warning devices will interface.
- The Railroad's existing cantilevered flashing lights and automatic gates will be moved 2. and relocated to allow for the installation of VMR's new tracks and automatic warning devices which will be interconnected with the Railroad's so that their warning devices will operate simultaneously with either the passing of a freight train or a light rail vehicle where both VMR's and the Railroad's tracks cross First Street in Tempe, Arizona at AAR/DOT No. 741 547N.
- 3. This construction will facilitate the addition of VMR's tracks and automatic warning devices at the grade-separated crossing of the Railroad, and will provide for any necessary relocation of Railroad equipment.
- 4. On October 18, 2005, VMR filed certification that it had provided notice by registered U.S. mail of the Application and hearing thereon.
  - 5. A hearing was held as scheduled on October 26, 2005.
- 6. The Application provides for the VMR's and the Railroad's tracks and automatic warning devices to interface and operate simultaneously at the crossing.
- 7. Staff testified that the cost apportionment for the construction of the crossing upgrade as provided in the Application was proper.
  - 8. Staff has recommended that the Application be approved.

# **CONCLUSIONS OF LAW**

1. The Commission has jurisdiction over the parties and over the subject matter of the Application pursuant to Article XV of the Arizona Constitution and A.R.S. §§ 40-336, 40-337 and 40-337.01.

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1	2. Notice of the Application was provided in accordance with the law.				
2	3. Installation of the crossing upgrade is necessary for the public's convenience and				
3	safety.				
4	4. Pursuant to A.R.S. §§ 40-336 and 40-337, the Application should be approved as				
5	recommended by Staff.				
6	5. After the construction at the crossing, the Railroad should maintain its portion of the				
7	crossing in accordance with A.A.C. R14-5-104.				
8	<u>ORDER</u>				
9	IT IS THEREFORE ORDERED that the Valley Metro Rail's Application is hereby approved.				
10	IT IS FURTHER ORDERED that the Union Pacific Railroad Company shall complete the				
11	crossing upgrade as described in the Application within fifteen months from the effective date of this				
12	Decision.				
13	IT IS FURTHER ORDERED that the Union Pacific Railroad Company shall notify the				
14	Commission, in writing, within ten days of both the commencement and the completion of the				
15	crossing upgrade, pursuant to A.A.C. R14-5-104.				
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1	IT IS FURTHER ORDERED that upon completion of the crossing upgrade, the Union Pacific				
2	Railroad Company shall maintain its portion of the crossing in compliance with the A.A.C. R14-5-				
3	104.				
4	IT IS FURTHER ORDERED that this Decision shall become effective immediately.				
5	BY ORDER OF THE ARIZONA CORPORATION COMMISSION.				
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8	CHAIRMAN	CO	MMISSIONER		
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12	COMMISSIONER	COMMISSIONER	COMMISSIONER		
13		IN WITNESS WHEREOF, I, B	RIAN C. McNEIL, Executive		
14 15		Director of the Arizona Conhereunto set my hand and can Commission to be affixed at the Conhis, 200	used the official seal of the		
16	,	this, 200	05.		
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18		BRIAN C. McNEIL EXECUTIVE DIRECTOR			
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DECISION NO. \_\_

- 11					
1	SERVICE LIST FOR:	UNION PACIFIC RAILROAD COMPANY			
2	DOCKET NO.	RR-03639A-05-0404			
3	Vicki Barron, P.E. Director of Design and Construction				
4	Valley Metro Rail 411 North Central Avenue, Ste. 200				
5	Phoenix, AZ 85004				
6	David Gibson Arizona Department of Transportation				
7	Traffic Records Section  206 S. 17 <sup>th</sup> Avenue, MD 064R				
8	Phoenix, AZ 85007				
9	James H. Smith Union Pacific Railroad				
10	10031 Foothill Blvd. Roseville, CA 95747				
11	Christopher Kempley, Chief Counsel				
12	Legal Division ARIZONA CORPORATION COMMISSION				
13	1200 West Washington Street Phoenix, Arizona 85007				
14	Brian Lehman, Chief				
15	Railroad Safety Section ARIZONA CORPORATION COMMISSION	ON.			
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17	Phoenix, Anzona 65007				
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